### NATIONAL ACTION PLAN ON MARINE PLASTIC LITTER FROM SEA-BASED SOURCES

# **COSTA RICA**



### 2022-2024

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The information presented in this document does not reflect the views of the IMO, FAO or Norad

### Acronyms

| ACIONYINS |  |
|-----------|--|
| CAP       | Puntarenas Chamber of Fishermen                            |
| CANATUR   | National Chamber of Tourism                                |
| CCAD      | Central American Commission on Environment and Development |
| CICR      | Chamber of Industries of Costa Rica                        |
| CIMAR     | Centre of Research in Marine Sciences and Limnology        |
| COCATRAM  | Central American Commission of Maritime Transport          |
| FAO       | Food and Agriculture Organization of the United Nations    |
| GGGI      | Global Ghost Gear Initiative                               |
| GIA       | Global Industry Alliance                                   |
| GloLitter | GloLitter Partnerships Project                             |
| GLP       | Global Litter Partnership (GloLitter Partnership)          |
| ICT       | Costa Rican Tourism Board                                  |
| INA       | National Institute of Learning                             |
| INCOPESCA | The Costa Rican Institute of Fisheries and Aquaculture     |
| ITCR      | The Technological Institute of Costa Rica                  |
| LPC       | Lead Partnering Country                                    |
| MPL       | Marine Plastic Litter                                      |
| MAG       | Ministry of Agriculture and Livestock                      |
| MEIC      | Ministry of Economy, Industry and Commerce                 |
| MINAE     | Ministry of Environment and Energy                         |
| MOPT      | Ministry of Public Works and Transport                     |
| MIDEPLAN  | Ministry of National Planning and Economic Policy          |
| MP        | Ministry of Presidency                                     |
| MRREE     | Ministry of Foreign Affairs                                |
| MS        | Ministry of Health   |
| MSP       | Ministry of Public Security                                |
| NAP       | National Action Plan                                       |
| NAVE      | Chamber of Shipowners of Costa Rica                        |
| NFP       | National Focal Point                                       |
| NGO(s)    | Non-Governmental Organization(s)                           |
| NMTP      | National Maritime Transport Policy                         |
| Norad     | Norwegian Agency for Cooperation Development               |
| NTF       | National Task Force  |
| PROMAR    | Prevention of Marine Litter in the Caribbean Sea           |
| SINAC     | National System of Conservation Areas                      |
| SNG       | Coast Guard Service  |
| SBMPL     | Sea Based Marine Plastic Litter                            |
| UCR       | University of Costa Rica                                   |
| UTN       | National Technical University                              |
| VGMFG     | Voluntary Guidelines for the Marking of Fishing Gear, 2019 |
|           |  |

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### 1. Introduction

Costa Rica participates in GloLitter as a Lead Partnering Country (LPC) thanks to the request made by the Costa Rican Institute of Fisheries and Aquaculture (INCOPESCA) in March 2020, and the efforts made by the Ministry of Public Works and Transport (MOPT) in coordination with the National Task Force (NTF) integrated by:

- Ministry of Foreign Affairs (MRREE, by its acronym in Spanish),
- Ministry of Health (MS, by its acronym in Spanish),
- Ministry of Agriculture and Livestock (MAG, by its acronym in Spanish),
- Ministry of Environment and Energy (MINAE, by its acronym in Spanish),
- Ministry of Public Security (MSP) represented by the National Coast Guard Service (SNG, by its acronym in Spanish)
- Costa Rican Institute of Fisheries and Aquaculture (INCOPESCA, by its acronym in Spanish).

GloLitter is a project funded by the Government of Norway represented by the Norwegian Agency for Development Cooperation (NORAD) and implemented by the International Maritime Organization (IMO) in cooperation with the Food and Agriculture Organization (FAO). The project assists developing countries in preventing, reducing and control of Sea Based Marine Plastic Litter (SBMPL) from shipping and fisheries sectors.

The project will develop mechanisms for the sustainability and enhancement of regional cooperation with other countries that are associated with the project with a view of supporting the transition of the shipping and fisheries sectors to a future with less SBMPL. GloLitter achieves its objectives by focusing on some of the areas outlined in the IMO Action Plan to address the SBMPL from Ships and on follow-up actions outlined by FAO, including support for established Voluntary Guidelines for the Marking of Fishing Gear and Ghost Fishing Gear, among others.

The GloLitter is carried out at three levels, with the participation of global, regional, and national partners representing governmental, non-governmental, and industry organizations. Private sector engagement is achieved through the creation of a global industry alliance (GIA) in collaboration with the United Nations Global Compact and promoting public-private partnerships.

The main elements of GloLitter include:

1) The development of knowledge products and capacity building tools in support of wider capacity building on the subject, and their dissemination to expand global awareness and stakeholders' support in dealing with SBMPL;

2) The preparation of countries' national status, policies, and action plans in tackling SBMPL as a basis for undertaking Legal, Policy and Institutional Reforms (LPIR) at national levels;

3) The delivery of capacity building activities to enhance the enforcement of MARPOL Annex V, LC/LP, and relevant FAO instruments as well as port waste management including port reception facilities;

4) The development of regulatory and best practice guidelines and tools to prevent and reduce ghost fishing by the reduction of fishing gears' plastic litter;

5) Establishment of public-private partnerships to spur the development of cost-effective SBMPL management solutions, promotion of women empowerment in dealing with SBMPL; and

6) Setting up of an effective project management and governance system to ensure that the project achieves its intended outcomes

To actively participate in the GloLitter at the national level, Costa Rica established a National Task Force (NTF) that provided information to prepare this National Action Plan identifying the actions to be implemented between 2022 and 2024

In Costa Rica there is no precise data on the SBMPL, because of the lack of a register system and monitoring controls since a detailed or complete inventory has never been done; however, the National Coast Guard Service (SNG), university research centres and some NGOs have collected some information that can give a general idea of the problem.

The SNG has data on fishing gears that was seized or found within the Territorial Sea during the period 2002-2021. Out of 5,851 gillnets, 1,233 (21%) were seized and 4,618 (79%) were founded. These were abandoned, lost or discarded fishing gears (ALDFG) by their owners, becoming a lethal trap for marine species both on the seabed and in the water column, and a hazard to navigation.

The annual average for the last 5 years from (2016 to 2020) is estimated at 56.3 seized fishing gears and 404.4 abandoned, lost or discarded fishing gear (ALDFG) recovered.

The Environmental Department of SNG made an estimated amount in weight of this plastic of 18.4 tons per year (approximately 234.04 tons of plastic for all period), taking as a reference that each fishing tackle has an approximate average weight of 40 kilograms, the total seizure 5,851 gillnets is equivalent a weight of 234,040 kilograms.

The CIMAR has investigated the presence of microplastics in the Pacific Coast of Costa Rica in different fish species used for human consumption, 30 adult fish of the *Opisthonema libertate* sp., known as "sardine gallera" was taken as a sample in the vicinity of Puerto Caldera. The researchers classified microplastics into two groups: fibres, such as synthetic or clothing fibres and particles, which can be plastic litter from other sources. The results showed a total of 1,101 pieces of plastic inside the digestive tract of the fish were 875 were fibres and 226 particles. An average of 29 fibres and 8 particles were identified in each sample taken.

The Non-Governmental Organization CEGESTI is working through PROMAR on a project financed by the German Cooperation<sup>1</sup> in which Costa Rica, Colombia and the Dominican Republic have joined forces to develop strategies and actions to prevent marine litter. The main objective of PROMAR is to reduce currents of plastic litter that come to the Caribbean Coast and to raise the consciousness of concerned parties.

It is estimated that up to 200,000 pieces of plastic per square kilometre float in the region, on average, 2,014 littered items were found per kilometre of beaches and coastal areas, most commonly including plastic bottles (21%), other single-use plastic items and foam containers.

Since March 2020 the Government of Costa Rica has given priority to Marine Governance, as well as improving the management of fishing and aquaculture activities that contribute to promoting sustainable economic growth, providing quality job opportunities, and promoting development in coastal communities.

The country has not yet approved the MARPOL Convention nor the 1996 Protocol to the London Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter 1972, despite the efforts made by the Administration, in particular, the Maritime Port Division of MOPT, through the Directorate of Navigation and Safety.

<sup>&</sup>lt;sup>1</sup> Through the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU), implemented by Zukunft Umwelt Gesellschaft (ZUG) led by Adelphi, with the participation in Costa Rica of the Ministry of Health, Ministry of Environment and executed by CEGESTI

### 2. Objectives of the National Action Plan (NAP)

The purpose of this NAP is to establish a guiding framework that contains concrete actions to support the country to improve the management of SBML from shipping and fisheries as identified in the Country Status Assessment developed with the support of the GloLitter project.

### 3. Country Context

Article 5 of the Political Constitution of Costa Rica states: "The national territory is comprised between the Caribbean Sea, the Pacific Ocean and the Republics of Nicaragua and Panama..." "Cocos Island, located in the Pacific Ocean, is part of the national territory."

Costa Rica has an area of 51,100 km2. The population (2020) was 5,094,114 inhabitants and it has 1,016 km of coastline on the Pacific Coast and 212 km on the Caribbean Coast.

Costa Rica has a vast marine jurisdiction, whose extension is more than ten times greater than the continental surface; and includes both marine territories in its Exclusive Economic Zones (EEZ) in the Pacific Ocean and in the Caribbean Sea.

Costa Rica is internationally recognized for its commitment with the conservation protection efforts, becoming one of the few countries in the world that has recovered its forest cover from about 21% to more than 55% and it is number 1 in the sustainability ranking of Latin America.

The country has a Romano Germanic Legal System of Civil Law with legislation such as laws, decrees, acts, regulations, and policies that regulate-the elimination of one single-use plastic, banned the use of stereophonic materials, as well for the management of waste in general, however does not have specific laws for the management and control of litter from the sea.

Costa Rica has made a sustained effort to establish and enforce the Code of Conduct for Responsible Fishing and the Voluntary Guidelines for Responsible Fishing issued by the FAO, since there is not a specific law that allows a better fishing management.

The country does not have registered statistics of the amount of marine plastic litter seized per annum from maritime transport and fishing vessels and does not have enough legal or material resources for the control and management of the SBMPL. However, the efforts taken by the Government and its institutions such as the National Coast Guard Service (SNG) need to be recognized for being aware of recollecting SBML during their patrolling duties on the sea. SNG reports that from 2002 until 2021, 5,851 fishing gears were seized; equivalent to 1,101.2 kilometres in gillnets and lines.

Although there is no precise data, it can be stated that most of the litter found is: Plastic (LDPE) to protect the frozen product (purchased by roll), Buoys (expanded PVC), Nylon Monofilament Rope (HDPE), Food and beverage recipients for long trips: plastic bottles (PET), gallon tanks (HDPE), plastic bags (LDPE), Fish aggregating devices (FAD), and Plastic Recipients (gallon jars, tin cans, plastic containers for oils and fuels), Ropes for docking and undocking, Plastics used for food products, Coolers. Although this is not plastic litter, there are large quantities of D-type batteries for radio buoys that come from fishing activities.

Costa Rica is an ecological touristic spot destination, because of its vast biodiversity of flora, fauna, exuberant vegetation, variety of forests, beaches, volcanoes, and national parks located inside protected areas by the SINAC of the Ministry of Environment, for this reason the marine litter is a very sensitive issue.

Since 1996, the Ecological Blue Flag Program has been Implemented. It is an interinstitutional program that awards stars (from one to five) and grants a Blue Flag to the establishments, beaches, schools, and others that comply accordingly with the management of ecofriendly, sustainable responsible practices such as the reduction of contaminant materials, use of recycled products, and the recycling of trash such as plastic, glass, paper, cans, etc. This Program motivates commercial establishments to start competing with such good eco-friendly practices that benefit the consumers, and positively impact whole country.

The program has several categories; in the "beaches" category, the criteria of litter and its management separation, location and quantity of recycling bins and the management of sewage has a value of 20/100. Additionally, periodic garbage collection campaigns run on the most visited beaches, organized by municipalities and civil society organizations.

In one of the most polluted rivers in Costa Rica (Tárcoles river); a public utility--that generates electricity with a dam-- makes permanent efforts to collect garbage and prevent it to reach the Pacific Ocean and not contaminating the beaches, mangroves, and estuaries.

Costa Rica expressed its interest to become one of the GloLitter LPCs showing its commitment to developing and implementing required compulsory legislation that regulates marine pollution, such as the approval of the accession of Costa Rica to the MARPOL Convention and the London Protocol.

Part of the commitment is the incorporation of those international treaties into the national laws and enforcing them, as well as the improvement of controls for the management of the SBMPL and promoting training aimed at public officials, citizens, private sector, NGOs, and volunteers with the purpose to address the global issue of the SBMPL.

Therefore, to comply with the objectives of the GloLitter project, the Government of Costa Rica designated a temporary National Task Force (NTF). One of the tasks of the NTF was elaborate the Country Status Assessment, which can be seen in Annex 1, that led to the identification of the gaps and priorities in the SBMPL.

The gaps and priorities were discussed during the working sessions of the NTF (composed by representatives of ministries and institutions that have responsibility in this matter) and the actions were agreed upon by all the members of the NTF and included in the NAP. Therefore, all the government entities involved in the NTF agreed to carry out each of the actions, according to their competencies and to monitor the progress of the implementation, as well as by the MOPT in its role as coordinator of the NTF and NFP of the project.

### 4. Principles and approaches of the National Action Plan

Costa Rica has historically followed<sup>2</sup>:

- The Precautionary Principle,
- The "polluter pays" Principle,
- The ecosystem approach,
- Citizen participation,
- Responsible consumption and production and apply the best available knowledge and practices.

The precautionary principle stated in article 11 of the Biodiversity Law is widely used in Costa Rica; especially it has been applied by the National System of Conservation Areas (SINAC, by its acronym in Spanish) and in matters of biodiversity conservation.

The "polluter pays" principle is a basic environmental regulation that supports the payment of environmental services that the country has successfully developed through an establishment of a tax on fossil fuels, which is not charged to the fishing vessels and is known as a" fishing subsidy".

The ecosystem approach is on which Costa Rica has based all its policies, laws, programs, and projects to promote its sustainability strategy in all fields of development. For example, SINAC to define new protected areas must base the decision on this approach.

Citizen participation is the basis of Costa Rica's democratic system, and the Supreme Electoral Tribunal insists that it is the foundation of everything that has to do with the public interest.

The new laws and strategies for waste management that the country has approved are based on SDG 12" Responsible consumption and production and applying the best knowledge and practices available" and it is expected that by 2030 all companies in the country will adopt it. In the voluntary report presented by Costa Rica in 2020 it is said that recycling exceeded in 2017 by more than double compared to 2016 numbers.

Responsible consumption and production as defined in SDG 12

<sup>&</sup>lt;sup>2</sup> The precautionary principle "... It aims at ensuring a Her level of environmental protection through preventative decision-taking in the case of risk. ." <u>https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=LEGISSUM%3AI32042</u>

<sup>&</sup>quot;The 'polluter pays' principle is the commonly accepted practice that those who produce pollution should bear the costs of managing it to prevent damage to human health or the environment."

https://www.lse.ac.uk/granthaminstitute/explainers/what-is-the-polluter-pays-principle/

<sup>&</sup>quot;The ecosystem approach is a strategy for the integrated management of land, water and living resources that promotes conservation and sustainable use in an equitable way. Thus, the application of the ecosystem approach will help to reach a balance of the three objectives of the Convention: conservation; sustainable use; and the fair and equitable sharing of the benefits arising out of the utilization of genetic resources." https://www.cbd.int/doc/publications/ea-text-en.pdf

Citizen participation "... is undoubtedly the fundamental basis of life in democracy, since it is the means by which citizens are present in decision-making in public affairs."

https://www.tse.go.cr/pdf/fasciculos\_capacitacion/participacion-ciudadana-en-democracia-espacios-y-mecanismos.pdf

https://www1.undp.org/content/undp/es/home/sustainable-development-goals/goal-12-responsible-consumptionand-production.html

### 5. Specific Actions, responsible authorities/agencies/ institutions, and timelines

### 5.1. Structure of the National Action Plan (NAP)

The National Action Plan is organized along these 5 sections:

- Actions related to the legal, policy and enforcement reforms
- Actions related to institutional capacity and reforms
- Actions related to education and outreach
- Actions related to regional and global cooperation
- Actions related to private sector engagement

As aforementioned, the NAP was prepared based on the Gaps and Priorities of the Country Status Assessment. The NTF determined that all the priorities related to the approval of conventions and laws that would facilitate the implementation of MARPOL; the Protocol to the LC and FAO Guidelines must be incorporated into the NAP under section "Actions related to the legal, policy and enforcement reforms".

In the same way, it incorporated all the Priorities related to training that would allow an appropriate implementation of MARPOL, the Protocol to the LC, and FAO Guidelines and other secondary laws, under the section "Actions related to education and outreach". The Section related to "Actions related to regional and global cooperation" focuses on the actions required for international cooperation to achieve the objectives of the NAP.

#### 5.2. Summary of Priorities identified in the Country Status Assessment

1-MARPOL Convention implementation and drafting of domestic legislation for its implementation into the national laws and its effective enforcement.

Priorities:

1.1. The Executive Power will submit the MARPOL Convention for approval by the Legislative Assembly of the accession of Costa Rica to MARPOL to be incorporated into the laws of Costa Rica.

1.2. Drafting of secondary legislation for the implementation of Annex V Provisions of MARPOL Convention

2- Ports and Maritime Transport Policy

Priorities:

2.1. Development of a Maritime-Port Environmental Policy

2.2. Conclusion of the Marine Waste National Policy

3-Port reception facilities for any kind of garbage, MARPOL training courses, implementing of the Port State Control role on port reception facilities according to MARPOL Annex V Provisions

Priorities:

3.1. In future tenders for port concessions the reception facilities must be included

3.2. Request of construction of facilities in ports currently in operation

3.3. Drafting of the terms of conditions required for the Port State Control role to

be implemented in the country (training of Port State Control (PSC) inspectors

3.4. Schedule training courses on the implementation of MARPOL Convention and its Annex V and request international cooperation for their support in lecturing them.

4-Incentives for the use of Port Reception Facilities for fishing gears Priorities:

4.1. Promotion of the approval of the draft Law number 21 159 (Law that tackles the pollution of plastic waste) by the Legislative Assembly.

4.2. Incorporation of the fishing gears into the circular economy promotion policies

5-Rules on garbage management onboard the domestic fleet Priorities

5.1. The obligation to keep garbage onboard vessels must be included in all existing laws (annotations in the onboard logbook, including in the departure for sailing regulations, the commitment to properly garbage handle).

5.2. Regulation that requires keeping litter onboard vessels and evaluations within the framework of the Institutional Environmental Management Program (PGAI, by its acronym in Spanish), comprehensive waste management, among others, and in the case of private companies, comply with the comprehensive waste management program as generators.)

5.3. Request inclusion in the Northeast Pacific Regional Garbage Plan and the PROMAR Project and other initiatives and projects that garbage must be kept on board the vessels

6-SBMPL mandates, competencies, and capacities according to LC/LP <u>Priorities:</u>

6.1. Drafting of national legislation which enables sanctioning the inappropriate handling of garbage

6.2. Training of the national staff according to the London Protocol

7-Implementation of FAO Voluntary Guidelines to address ALDFG problems <u>Priorities:</u>

7.1. Development and enforcement of specific legislation to sanction noncompliance with the report of loss of fishing gear and devices

7.2. Drafting of regulations for the gillnet market and other fishing devices

7.3. Drafting of regulations for the recovery of fishing gear

7.4. Drafting of regulations for the final disposal or reuse of fishing gear collected in the sea and for the end-of-life fishing gear

7.5. Promotion of the training programs on circular economy for the best use of recovered fishing gear

8- National or local fishing gear best management practices or guidelines for fishers <u>Priorities:</u>

8.1. Improve the administration of the special marine ordinance and the management of fisheries and other related activities that affect marine governance due to limited personnel and financial resources.

8.2. Clearly define the scope and responsibilities of the stewardship of the sea and its resources

8.3. Provide more resources to INCOPESCA to strengthen its actions as a national authority in the fishing and aquaculture sector

8.4. Elaborate and develop programs at INA to train fishermen to improve the management of fishing gear.

## 5.3. Relationship between NAP actions and Priorities identified in the Country Status Assessment

Country Status Assessment can be seen in the Annex 1.

|             | Description   | Country Status Assessment<br>Priorities |
|-------------|---|---|
| 1.          | Actions related to the legal, policy and enforcement  | ent reforms                             |
| Action 1.1  | Submission to the Legislative Assembly of the Bill<br>of the Accession of Costa Rica to the MARPOL<br>Convention  | 1.1                                     |
| Action 1.2  | Submission to the Legislative Assembly of the Bill<br>of the Accession of Costa Rica to the 1996 Protocol<br>to the Convention on the Prevention of Marine<br>Pollution by Dumping of Wastes and Other Matter<br>1972 | 6.1, 6.2                                |
| Action 1.3  | Lobby to promote the approval of the Aquatic Navigation Bill  | 1.2, 6.1                                |
| Action 1.4  | Lobby to promote the approval of the Bill to solve the pollution from SBMPL   | 4.1                                     |
| Action 1.5  | Drafting secondary legislation to implement Annex<br>V Provisions of the MARPOL Convention  | 1.2                                     |
| Action 1.6  | Drafting of secondary legislation to implement the<br>1996 Protocol to the Convention on the Prevention<br>of Marine Pollution by Dumping of Wastes and<br>Other Matter 1972  | 6.1.                                    |
| Action 1.7  | Drafting and publication in La Gaceta of the-Law<br>Decree of Aquatic Navigation, the national maritime<br>policy and strategy  | 2.1 2.2                                 |
| Action 1.8  | Enforcement of the Law Decree of the National<br>Plan for Marine Waste  | 2.2 4.1                                 |
| Action 1.9  | Promotion for the revision of the National Policy of the Sea 2012-2028  | 2.1                                     |
| Action 1.10 | Incorporation into the national laws and<br>enforcement of the FAO Voluntary Guidelines on<br>ghost fishing   | 7.1 7.2 7.3 7.4 7.5                     |
| Action 1.11 | Drafting and establishment of the Port<br>Environmental Policy according to the Institutional<br>Environmental Policy of the MOPT.  | 2.1 2.2                                 |
| Action 1.12 | Incentivise the importance of the inclusion of port<br>reception facilities for SBMPL in port development<br>projects under Annex V, MARPOL   | 3.1 3.2                                 |

| 2.         | Actions related to institutional capacity and refor  | ms   |
|------------|--|--|
| Action 2.1 | Designation of a permanent National Task Force<br>(NTF) for the continuous monitoring of actions and<br>the implementation process for the efficient,<br>effective and timely fulfilment of the GloLitter<br>Project objectives in Costa Rica  | Coming from NTF meetings and deliberations |
| 3.         | Actions related to education and outreach  |  |
| Action 3.1 | Designing and execution of training and awareness<br>courses on MARPOL Convention address to<br>diverse levels: operational, governmental<br>authorities to diverse actors in the maritime and<br>fishing sector, among others   | 3.4  |
| Action 3.2 | Designing and execution of training and awareness<br>courses on the 1996 Protocol to the 1972 London<br>Convention on dumping, for diverse levels:<br>operational, authorities and different actors in the<br>maritime and fishing sector, among others  | 6.2  |
| Action 3.3 | Designing and execution of training courses on<br>FAO Voluntary Guidelines, for diverse levels:<br>operational, authorities and awareness-raising,<br>among others   | 8.4  |
| 4.         | Actions related to regional and global cooperatio  | n  |
| Action 4.1 | Approach international organizations and NGOs to<br>request cooperation and technical assistance in the<br>development of projects aimed to achieve the<br>objectives of Costa Rica such as: elaboration of<br>policies and secondary legislation, training, advice<br>on the execution of international agreements and<br>others  | Coming from NTF meetings and deliberations |
| Action 4.2 | Approach international organizations and NGOs to<br>request cooperation and technical assistance in the<br>execution of projects such as: Reception facilities<br>of waste in ports, river cleaning equipment,<br>identification of vessels and fishing gear with<br>advanced technological equipment and others   | Coming from NTF meetings and deliberations |
| Action 4.3 | Request support from international organizations<br>in the drafting manuals for the effective<br>implementation of waste reception facilities in port<br>and Annex V of MARPOL and to get devices and<br>mechanisms to collect plastics in rivers.   | Coming from NTF meetings and deliberations |
| Action 4.4 | Request support from international organizations<br>for the effective implementation of the controls<br>established in the Protocol to the London<br>Convention of 1972 regarding the reception of<br>garbage in ports and everything related to the<br>Provisions of Annex V of the MARPOL Convention<br>as well as the necessary devices and mechanisms<br>to remove plastics from rivers. | Coming from NTF meetings and deliberations |
| Action 4.5 | Request Central American Commission of Maritime<br>Transport (COCATRAM) to conduct training<br>courses to inspectors on the controls for the Flag<br>State, Coastal State and Port State, on Annex V of  | Coming from NTF meetings and deliberations |

|            | MARPOL and the Protocol of the London<br>Convention from 1972.  |   |
|------------|---|---|
| Action 4.6 | Request IMO to conduct training course for Port<br>State authorities and officers, to implement the<br>controls by Flag State, Coastal State and Port<br>State, in relation to Annex V of MARPOL and the<br>Protocol to the 1972 London Convention                | Coming from NTF meetings and deliberations    |
| Action 4.7 | Request FAO to conduct a training course to<br>implement the FAO Voluntary Guidelines   | Coming from NTF meetings and<br>deliberations |
| 5.         | Actions related to private sector engagement  |   |
| Action 5.1 | Promote corporate social responsibility programs to<br>support the implementation of legislation related to<br>marine litter management   | Coming from NTF meetings and deliberations    |
| Action 5.2 | Fostering of public-private partnerships to design,<br>promote and execute programs of circular<br>economy, blue economy, and actions related to<br>reusing the litter collected from the sea to eradicate<br>the marine litter issue and taking advantage of it. | Coming from NTF meetings and deliberations    |
| Action 5.3 | Encouragement of agreements between NGOs and<br>public-private partnerships to work on the<br>collection, separation, valuation, and reuse of<br>marine litter  | Coming from NTF meetings and deliberations    |

### 5.4 National Action Plan (specific actions)

|            | Description  | Priority<br>Level<br>(H, M,<br>L) | Responsible<br>authority         | Start<br>Date | End<br>Date | Resources required<br>(staff, tech, etc. )  | Progress<br>indicators  | Methods of verification  |
|------------|--|-----------------------------------|----------------------------------|---------------|-------------|---|---|--|
| 1.         | Actions related to the   | legal, po                         | licy and enforce                 | ment refori   | ns          |   |   | _  |
| Action 1.1 | Submission to the<br>Legislative<br>Assembly of the Bill<br>of the Accession of<br>Costa Rica to the<br>MARPOL<br>Convention | Н                                 | MS<br>MINAE<br>MOPT (R)<br>MRREE | 11/2022       | 02/2023     | Staff:<br>4 Ministers<br>(MOPT, MINAE<br>Health, Presidency)<br>1 Director Port<br>Maritime Division<br>1 Director Navigation<br>and Safety<br>1 Legal Advisor<br>INCOPESCA<br>1 President<br>SNG<br>1 Director General<br>1 Legal Advisor<br>Tech/Material:<br>1 Application for<br>institutional<br>coordination<br>1 IT Infrastructure<br>1 Document<br>Repository | MARPOL<br>Convention<br>delivered to the<br>Legislative<br>Assembly | Stamp of<br>receipt of the<br>Bill by the<br>Secretariat of<br>the Legislative<br>Assembly |
| Action 1.2 | Submission to the<br>Legislative<br>Assembly of the Bill<br>for the Accession of   | н                                 | MOPT (R)<br>MS<br>MINAE<br>MRREE | 01/2022       | 02/2023     | Staff<br>4 Ministers<br>(MOPT, MINAE<br>Health, Presidency)   | The 1996<br>Protocol to the<br>London<br>Convention<br>delivered to | Stamp of<br>receipt of the<br>Bill by the<br>Secretariat of                                |

|            | Costa Rica to the<br>1996 Protocol to<br>the Convention on<br>the Prevention of<br>Marine Pollution by<br>Dumping of Wastes<br>and Other Matter<br>1972 |   |     |         |         | <ul> <li>1 Director-General of<br/>Maritime and Ports<br/>Division</li> <li>1 Director of<br/>Navigation and<br/>Safety</li> <li>1 Legal Advisor</li> <li>INCOPESCA</li> <li>1 President</li> <li>SNG</li> <li>1 Director General</li> <li>1Legal Advisor</li> <li>Tech/Material:</li> <li>1 Application for<br/>institutional<br/>coordination</li> <li>1 IT Infrastructure</li> <li>1 Document<br/>Repository</li> </ul> | Legislative<br>Assembly  | the Legislative<br>Assembly  |
|------------|---|---|-----|---------|---------|--|--|--|
| Action 1.3 | Lobby to promote the<br>approval by the<br>Parliament of the<br>Aquatic Navigation<br>Bill  | Т | NTF | 11/2021 | 07/2022 | Staff:<br>4 Ministers<br>(MOPT, MINAE<br>Health, Presidency)<br>1 Director General of<br>Maritime and Ports<br>Division<br>1 Director Navigation<br>and Safety<br>1 Legal Advisor<br>INCOPESCA<br>1 President<br>SNG<br>1 Director General<br>1 Legal Advisor  | No. of debates<br>held in the<br>Legislative<br>Assembly<br>No. of<br>consultation<br>meetings held<br>with different<br>sectors and<br>institutions | Copy of<br>minutes of the<br>sessions held<br>by the<br>Legislative<br>Assembly<br>Reports of the<br>meetings held<br>by the<br>Parliament<br>and Ports and<br>Maritime<br>Division with<br>different<br>sectors and<br>institutions |

|            |  |   |     |         |         | International<br>Cooperation:<br>Letters from IMO<br>and COCATRAM<br>highlighting the<br>importance of the<br>Aquatic Navigation<br>Bill<br>Tech/Material:<br>1 Application for<br>institutional<br>coordination<br>1 IT Infrastructure<br>1 Document<br>Repository   |  |   |
|------------|--|---|-----|---------|---------|---|--|---|
| Action 1.4 | Lobby to promote the<br>approval of the Bill to<br>solve the pollution<br>from SBMPL | Н | NTF | 08/2022 | 09/2022 | Staff         4 Ministers         (MOPT, MINAE         Health, Presidency)         1 Director Port         Maritime Division         1 Director Navigation         1 Legal Advisor         INCOPESCA         1 President         SNG         1 Director General         1 Legal Advisor         Tech/Material:         1 Application for         institutional         coordination         1 IT Infrastructure         1 Document         Repository | No. of debates<br>held in the<br>Legislative<br>Assembly<br>No. of<br>consultation<br>meetings held<br>with different<br>sectors and<br>institutions | Copy of<br>minutes of the<br>sessions held<br>by the<br>Legislative<br>Assembly<br>Reports of the<br>meetings held<br>by the<br>Legislative<br>Parliament<br>and Ports and<br>Maritime<br>Division with<br>different<br>sectors and<br>institutions |

| Action 1.5 | Drafting of secondary<br>legislation to<br>implement Annex V<br>Provisions of the<br>MARPOL Convention | L | NTF | 06/2023 | 6/2024 | Staff<br>MOPT<br>1 Director-General of<br>Maritime and Ports<br>Division<br>1 Director of<br>Navigation and<br>Safety<br>1 Legal Advisor<br>MS<br>1 Director<br>Environmental<br>Health<br>Legal Advisor<br>MINAE<br>1 Legal Advisor<br>SNG<br>1 Director General<br>1 Legal Advisor<br>JAPDEVA<br>1 Port Manager<br>1 Legal Advisor<br>INCOP<br>1 Operations<br>Manager<br>1 Legal Advisor<br><b>Tech/Material:</b><br>1 Application for<br>institutional<br>coordination<br>1 IT Infrastructure<br>1 Document<br>Repository | No. of Law<br>Decrees, acts<br>and other<br>regulations<br>published | Copy of<br>Decrees and<br>other<br>regulations<br>published in<br>La Gaceta |
|------------|--|---|-----|---------|--------|---|--|---|
|------------|--|---|-----|---------|--------|---|--|---|

| Action 1.6 | Drafting of secondary<br>legislation to<br>implement the 1996<br>Protocol to the<br>Convention on the<br>Prevention of Marine<br>Pollution by Dumping<br>of Wastes and Other<br>Matter 1972 | L | NTF | 06/2023 | 6/2024 | Staff<br>MOPT<br>1 Director-General of<br>Maritime and Ports<br>Division<br>1 Director Navigation<br>and Safety<br>1 Legal Advisor<br>MS<br>1 Director<br>Environmental<br>Health<br>1 Legal Advisor<br>MINAE<br>1 Legal Advisor<br>JAPDEVA<br>1 Port Manager<br>1 Legal Advisor<br>INCOP<br>1 Operations<br>Manager<br>1 Legal Advisor<br>SNG<br>1 Legal Advisor<br>SNG<br>1 Legal Advisor<br>Environmental<br>Advisor<br>Tech/Material:<br>1 Application for<br>institutional<br>coordination<br>1 IT Infrastructure | No. of Decrees<br>and other<br>regulations<br>published | Copy of<br>Decrees and<br>other<br>regulations<br>published in<br>La Gaceta |
|------------|---|---|-----|---------|--------|--|---|---|
|------------|---|---|-----|---------|--------|--|---|---|

|            |   |   |                 |         |         | 1 Document<br>Repository   |  |  |
|------------|---|---|-----------------|---------|---------|--|--|--|
| Action 1.7 | Drafting and<br>publication in La<br>Gaceta of the Law<br>Decree of Aquatic<br>Navigation, the<br>national maritime<br>policy and strategy. | М | NTF<br>MIDEPLAN | 06/2022 | 12/2023 | Staff<br>MOPT<br>1 Director-General of<br>Maritime and Ports<br>Division<br>1 Director of<br>Navigation and<br>Safety<br>1 Legal Advisor<br>MS<br>1 Director<br>Environmental<br>Health<br>Legal Advisor<br>MINAE<br>1 Legal Advisor<br>MIDEPLAN<br>1 Policy Director<br>JAPDEVA<br>1 Port Manager<br>1 Legal Advisor<br>INCOP:<br>10perations<br>Manager<br>1 Legal Advisor<br>SNG<br>1 Legal Advisor<br>1 Environmental<br>Advisor | No. of aide-<br>memoire of<br>meetings<br>No. of Law<br>Decrees<br>published | Copy of aide-<br>memoire<br>Copy of Law<br>Decrees |

|            |  |   |     |         |         | 3 Members of the<br>Maritime Authority<br>in a broad sense<br>and the private<br>sector   |                         |  |
|------------|--|---|-----|---------|---------|---|-------------------------|--|
| Action 1.8 | Enforcement of the<br>Law Decree of the<br>National Plan for<br>Marine Waste | Н | NTF | 12/2021 | 12/2030 | Staff<br>MOPT<br>1 Director-General of<br>Maritime and Ports<br>Division<br>1 Director of<br>Navigation and<br>Safety<br>1 Legal Advisor<br>MS<br>1 Director<br>Environmental<br>Health<br>1 Legal Advisor<br>MINAE<br>1 Legal Advisor<br>SNG<br>1 Director General<br>1 Legal Advisor<br>JAPDEVA<br>1 Port Manager<br>1 Legal Advisor<br>INCOP<br>1 Operations<br>Manager<br>1 Legal Advisor | Law Decree<br>Published | Copy of Law<br>Decree and<br>published in<br>La Gaceta |

|            |   |   |   |         |         | 1 President<br>MAG<br>1 Advisor to Vice<br>Minister<br>NAVE<br>1 Executive Director  |  |                    |
|------------|---|---|---|---------|---------|--|--|--------------------|
| Action 1.9 | Promoting the<br>revision of the<br>National Policy of the<br>Sea 2012-2028 | Н | Marine<br>Governance<br>Commission (R)<br>MIDEPLAN<br>MRREE | 01/2022 | 12/2022 | Staff<br>MOPT<br>1 Director-General of<br>Maritime and Ports<br>Division<br>1 Director of<br>Navigation and<br>Safety<br>1 Legal Advisor<br>MS<br>1 Director<br>Environmental<br>Health<br>1 Legal Advisor<br>MINAE<br>1 Legal Advisor<br>MINAE<br>1 Legal Advisor<br>MINAE<br>1 Legal Advisor<br>MINAE<br>1 Legal Advisor | Request<br>addressed to the<br>Marine<br>Governance<br>Commission. | Request<br>receipt |

|             |  |   |                                 |         |         | INCOPESCA<br>1 Representative<br>SNG<br>1 Director General<br>1 Legal Advisor<br>1 Environmental<br>Advisor<br>IMMIGRATION<br>OFFICE<br>1 Representative<br>MIDEPLAN<br>1 Development<br>Analysis Director<br>JAPDEVA<br>1 Port Manager<br>1 Legal Advisor<br>INCOP<br>1 Operations<br>Manager<br>1 Legal Advisor<br>NAVE<br>1 Executive Director |                         |  |
|-------------|--|---|---------------------------------|---------|---------|---|-------------------------|--|
| Action 1.10 | Incorporation into the<br>national laws and<br>enforcement of the<br>FAO Voluntary<br>Guidelines on ghost<br>fishing | н | MAG<br>INCOPESCA<br>(R)<br>MREE | 06/2022 | 12/2022 | Staff<br>MAG<br>1 Advisor to Vice<br>Minister<br>INCOPESCA<br>1 President<br>1 Tech Advisor<br>1 Legal Advisor  | Law Decree<br>published | Copy of Law<br>Decree and<br>published in<br>La Gaceta |

| Action 1.11 | Drafting and<br>enforcement of the<br>Port Environmental<br>Policy according to<br>the Institutional<br>Environmental Policy<br>of the MOPT. | М | MOPT (R)<br>MINAE<br>JAPDEVA<br>INCOP<br>MIDEPLAN | 07/2022  | 06/2023 | StaffMOPT1 Director-GeneralPorts and MaritimeDivision1 Director Navigationand Safety1 Legal AdvisorMIDEPLAN:1 DevelopmentAnalysis DirectorMS:1 DirectorEnvironmentalHealth1 Legal AdvisorMINAE1 RepresentativeSNG1 Legal AdvisorI EnvironmentalAdvisorI ternationalCooperation:1 Expert1 ExpertCOCATRAM | No. of aide-<br>memoire of<br>meetings.<br>Law Decree<br>published | Copies of<br>aide-memoire<br>Copy of Law<br>Decree<br>published |
|-------------|--|---|---|----------|---------|---|--|---|
| Action 1.12 | Incentivise the<br>importance of the<br>inclusion of port<br>reception facilities for<br>SBMPL in port<br>development projects               | L | MOPT (R)<br>MINAE<br>JAPDEVA<br>INCOP             | 01/ 2022 | 06/2024 | Staff<br>MOPT<br>1 Representative to<br>the National Port<br>Commission   | No. of promotion meetings  | Copies of<br>aide-memoire<br>of meetings                        |

| 2.         | under Annex V,<br>MARPOL<br>Actions related to in   |          |                                      |         |         | 1 Secretary National<br>Council of<br>Concessions<br>2 Advisors to the<br>Maritime and Port<br>Division  |   |                                    |
|------------|---|----------|--------------------------------------|---------|---------|--|---|------------------------------------|
| Action 2.1 | Designation of a<br>permanent National<br>Task Force (NTF) for<br>the continuous<br>monitoring of actions<br>and the<br>implementation<br>process for the<br>efficient, effective and<br>timely fulfilment of the<br>GloLitter Project<br>objectives in Costa<br>Rica | M        | NTF                                  | 01/2022 | 06/2024 | Staff:<br>MOPT<br>1 Director of<br>Navigation and<br>Safety<br>MS<br>1 Director<br>Environmental<br>Health<br>MINAE<br>1 Representative<br>SNG<br>1 Director General<br>MAG<br>1 Advisor to Vice<br>Minister<br>INCOPESCA<br>1 Representative<br>MRREE<br>1 Representative | Law Decree<br>published                         | Copy of Law<br>Decree<br>published |
| 3.         | Actions related to e  | ducation | and outreach                         |         |         |  |   |                                    |
| Action 3.1 | Designing and<br>execution of training<br>and awareness<br>courses on MARPOL<br>Convention address  | L        | NTF (R)<br>INA<br>UCR<br>UTN<br>ITCR | 06/2023 | 06/2024 | Staff:<br>MOPT<br>1 Director Navigation<br>and Safety  | Number of<br>courses<br>designed and<br>taught. | Copy of the designed courses.      |

|            | to diverse levels:<br>operational,<br>governmental<br>authorities to different<br>actors in the maritime<br>and fishing sector,<br>among others. |   | Coast Guard<br>National<br>Academy. |         |         | UCR<br>1 Civil Marine<br>Director<br>INA<br>1 Nautical Fishery<br>Director<br>UTN<br>1 Representative<br>Coast Guard<br>National Academy.<br>1 Director<br>ITCR<br>1 Representative<br>INCOPESCA<br>1 Representative<br>INCOPESCA<br>1 Representative<br>INCOPESCA<br>1 Representative<br>International<br>Cooperation:<br>1 Expert IMO<br>1 Expert<br>COCATRAM<br>Tech/material:<br>10 computers<br>1 Graphic Designer<br>for the courses |   | Attendance<br>lists of the<br>courses<br>taught. |
|------------|--|---|-------------------------------------|---------|---------|--|---|--|
| Action 3.2 | Designing and<br>execution of training<br>and awareness<br>courses on the 1996<br>Protocol to the 1972   | L | NTF (R)<br>INA<br>UCR<br>UTN        | 06/2023 | 06/2024 | Staff:<br>MOPT<br>1 Director Navigation<br>and Safety<br>UCR   | Number of<br>courses<br>designed and<br>taught. | Copy of the designed courses.                    |

|            | London Convention on<br>dumping, for diverse<br>levels: operational,<br>authorities and<br>different actors in the<br>maritime and fishing<br>sector, among others.                |   | ITCR<br>Coast Guard<br>National<br>Academy        |         |         | <ul> <li>1 Civil Marine<br/>Director</li> <li>INA</li> <li>1 Nautical Fishery<br/>Director</li> <li>UTN</li> <li>1 Representative</li> <li>Coast Guard<br/>National Academy.</li> <li>1 Director</li> <li>INCOPESCA</li> <li>1 Representative</li> <li>International<br/>Cooperation:</li> <li>1 Expert IMO</li> <li>1 Expert<br/>COCATRAM</li> <li>Tech/material:</li> <li>10 computers</li> <li>1 Graphic Designer<br/>for the courses</li> </ul> |   | Attendance<br>lists of the<br>courses<br>taught.  |
|------------|--|---|---|---------|---------|---|---|---|
| Action 3.3 | Designing and<br>execution of training<br>courses on FAO<br>Voluntary Guidelines,<br>for diverse levels:<br>operational,<br>authorities and<br>awareness-raising,<br>among others. | М | NTF (R)<br>INA<br>UCR<br>UTN<br>ITCR<br>INCOPESCA | 01/2023 | 06/2024 | MAG:<br>1 Advisor to Vice<br>Minister<br>INCOPESCA:<br>1 President<br>1 Tech Advisor<br>1 Legal Advisor<br>UCR  | Number of<br>courses<br>designed and<br>taught. | Copy of the<br>designed<br>courses.<br>Attendance<br>lists of the<br>courses<br>taught. |

|            |   |          |                          |         |         | <ul> <li>1 Civil Marine<br/>Director</li> <li>INA</li> <li>1 Nautical Fishery<br/>Director</li> <li>ITCR</li> <li>1 Representative</li> <li>MREE</li> <li>1 Representative of<br/>Cooperation<br/>International</li> <li>Coast Guard<br/>National Academy.</li> <li>1 Director</li> <li>International<br/>Cooperation:</li> <li>1 Expert FAO</li> <li>Tech/material:<br/>10 computers</li> <li>1 Graphic Designer<br/>for the courses</li> </ul> |  |  |
|------------|---|----------|--------------------------|---------|---------|--|--|--|
| 4.         | Actions related regi  | onal and | global cooperation       | on      |         |  |  |  |
| Action 4.1 | Approach<br>international<br>organizations and<br>NGOs to request<br>cooperation and<br>technical assistance<br>in the development of | М        | NTF<br>MIDEPLAN<br>MRREE | 06/2022 | 06/2024 | Staff:<br>MRREE<br>1 Expert on<br>International<br>Cooperation<br>MOPT   | No. of proposals<br>prepared to IMO<br>and FAO | Copy of the<br>proposals<br>prepared to<br>IMO and FAO |

|            | projects aimed to<br>achieve the<br>objectives of Costa<br>Rica such as:<br>elaboration of policies<br>and secondary<br>legislation, training,<br>advice on the<br>execution of<br>international<br>agreements and<br>others   |   |                                      |         |         | <ul> <li>1 Director Navigation<br/>and Safety</li> <li>MAG</li> <li>1 Advisor to Vice<br/>Minister</li> <li>INCOPESCA:</li> <li>1 President</li> <li>1 Director of<br/>Cooperation<br/>International</li> <li>SNG</li> <li>1 Director General</li> <li>1 Environmental<br/>Advisor</li> </ul> |   |  |
|------------|--|---|--------------------------------------|---------|---------|---|---|--|
| Action 4.2 | Approach<br>international<br>organizations and<br>NGOs to request<br>cooperation and<br>technical assistance<br>in the execution of<br>projects such as:<br>Reception facilities of<br>waste in ports, river<br>cleaning equipment,<br>identification of<br>vessels and fishing<br>gear with advanced<br>technological<br>equipment and others | М | NTF<br>MIDEPLAN<br>MREE<br>INCOPESCA | 06/2022 | 06/2024 | MRREE<br>1 Expert on<br>International<br>Cooperation<br>MOPT<br>1 Director Navigation<br>and Safety<br>MAG<br>1 Advisor to Vice<br>Minister<br>INCOPESCA:<br>1 President<br>1 Director of<br>Cooperation<br>International<br>SNG<br>1 Director General  | No. of proposals<br>prepared to<br>United Nations | Copy of the<br>proposals<br>prepared to<br>United<br>Nations |

| Action 4.3 | Request support from<br>international<br>organizations in the<br>drafting manuals for<br>the effective<br>implementation of<br>waste reception<br>facilities in port and<br>Annex V of MARPOL<br>and to get devices<br>and mechanisms to<br>collect plastics in<br>rivers. | М | NTF<br>MIDEPLAN<br>MREE  | 06/2022 | 06/2024 | 1 Environmental<br>Advisor<br>Staff:<br>MRREE<br>1 Expert on<br>International<br>Cooperation<br>MOPT<br>1 Director Navigation<br>and Safety<br>MAG<br>1 Advisor to Vice<br>Minister<br>SNG<br>1 Director<br>MS<br>1 Director<br>MS<br>1 Director Radiology<br>Protection<br>1 Director of<br>Environmental<br>Health<br>International<br>Cooperation:<br>1 International | No. of proposals<br>prepared to GIZ<br>and EU | Copy of the<br>proposals<br>prepared to<br>GIZ and EU |
|------------|--|---|--------------------------|---------|---------|--|---|---|
|            |  |   |                          |         |         | 1 International<br>Expert  |   |   |
| Action 4.4 | Request support from<br>international<br>organizations for the<br>effective<br>implementation of the<br>controls established<br>in the Protocol to the<br>London Convention  | М | NTF<br>MIDEPLAN<br>MRREE | 06/2022 | 06/2024 | Staff:<br>MRREE<br>1 Expert on<br>International<br>Cooperation<br>MOPT   | No. of proposals<br>prepared to<br>JICA       | Copy of the<br>proposals<br>prepared to<br>JICA       |

|            | of 1972 regarding the<br>reception of garbage<br>in ports and<br>everything related to<br>the Provisions of<br>Annex V of the<br>MARPOL Convention<br>as well as the<br>necessary devices<br>and mechanisms to<br>remove plastics from<br>rivers.  |   |                          |         |         | <ul> <li>1 Director<br/>Navigation and<br/>Safety</li> <li>MAG</li> <li>1 Advisor to Vice<br/>Minister</li> <li>SNG</li> <li>1 Director</li> <li>MS</li> <li>1 Director Radiology<br/>Protection</li> <li>1 Director of<br/>Environmental<br/>Health</li> <li>International<br/>Cooperation:<br/>1 International<br/>Expert</li> </ul> |   |   |
|------------|--|---|--------------------------|---------|---------|--|---|---|
| Action 4.5 | Request Central<br>American<br>Commission of<br>Maritime Transport<br>(COCATRAM) to<br>conduct training<br>courses to inspectors<br>on the controls for the<br>Flag State, Coastal<br>State and Port State,<br>on Annex V of<br>MARPOL and the<br>Protocol of the<br>London Convention<br>from 1972. | М | NTF<br>MIDEPLAN<br>MRREE | 06/2022 | 06/2024 | Staff:<br>MRREE<br>1 Expert on<br>International<br>Cooperation<br>MOPT<br>1 Director Navigation<br>and Safety<br>MAG<br>1 Advisor to Vice<br>Minister<br>SNG<br>1 Director   | No. of proposals<br>prepared to<br>COCATRAM | Copy of the<br>proposals<br>prepared to<br>COCATRAM |

|            |   |   |                          |         |         | MS<br>1 Director Radiology<br>Protection<br>1 Director of<br>Environmental<br>Health<br>International<br>Cooperation:<br>1 Expert   |   |  |
|------------|---|---|--------------------------|---------|---------|---|---|--|
| Action 4.6 | Request IMO to<br>conduct training<br>course for Port State<br>authorities and<br>officers, to implement<br>the controls by Flag<br>State, Coastal State<br>and Port State, in<br>relation to Annex V of<br>MARPOL and the<br>Protocol to the 1972<br>London Convention | М | NTF<br>MIDEPLAN<br>MRREE | 06/2022 | 06/2024 | COCATRAM<br>Staff:<br>MRREE<br>1 Expert on<br>International<br>Cooperation<br>MIDEPLAN<br>1 Expert on<br>International<br>Cooperation<br>MOPT<br>1 Director Navigation<br>and Safety<br>MAG<br>1 Advisor to Vice<br>Minister<br>SNG<br>1 Director<br>MS<br>1 Director Radiology<br>Protection | No. of proposals<br>prepared to<br>present to IMO | Copy of the<br>proposals<br>prepared to<br>IMO |

|            |  |   |                 |         |         | 1 Director of<br>Environmental<br>Health<br>International<br>Cooperation:<br>1 Expert IMO   |  |  |
|------------|--|---|-----------------|---------|---------|---|--|--|
| Action 4.7 | Request FAO to<br>conduct a training<br>course to implement<br>the FAO Voluntary<br>Guidelines | М | NTF<br>MIDEPLAN | 06/2022 | 06/2024 | Staff:<br>MRREE<br>1 Expert on<br>International<br>Cooperation<br>MIDEPLAN<br>1 Expert on<br>International<br>Cooperation<br>MOPT<br>1 Director Navigation<br>and Safety<br>MAG<br>1 Advisor to Vice<br>Minister<br>SNG<br>1 Director General<br>INCOPESCA<br>1 President<br>MS<br>1 Director Radiology<br>Protection | No. of<br>proposals<br>prepared to<br>present to FAO | Copy of the<br>proposals<br>prepared to<br>FAO |

|            |   |            |                                      |         |         | 1 Director of<br>Environmental<br>Health<br>International<br>Cooperation:<br>1 Expert FAO  |   |  |
|------------|---|------------|--------------------------------------|---------|---------|--|---|--|
| 5.         | Actions related to p  | rivate sec | tor engagement                       |         |         | <b>•</b>   |   |  |
| Action 5.1 | Promote corporate<br>social responsibility<br>programs to support<br>the implementation of<br>legislation related to<br>marine litter<br>management | L          | NTF<br>NGOs<br>Private Sector<br>ICT | 09/2022 | 06/2024 | Staff:<br>MOPT<br>1 Director Navigation<br>and Safety<br>MS<br>1 Director<br>Environmental<br>Health<br>1 Director Radiology<br>Protection<br>MINAE<br>1 Representative<br>SNG<br>1 Director General<br>1 Environmental<br>Advisor<br>MAG<br>1 Advisor to Vice<br>Minister<br>INCOPESCA<br>1 Representative<br>MRREE<br>1 Representative | No. of Social<br>Responsibility<br>Programs<br>executed | Copy of the<br>reports on the<br>Social<br>Responsibility<br>programs<br>carried out |

| Action 5.2 | Promote public-<br>private partnerships<br>to design, promote<br>and execute<br>programs of: circular<br>economy, blue<br>economy and actions<br>related to marine litter   | L | NTF<br>JAPDEVA<br>INCOP<br>INCOPESCA<br>Private Sector<br>NGOs<br>ICT<br>Bank public<br>and private | 09/2022 | 06/2024 | INCOP<br>General Manager<br>JAPDEVA<br>Development<br>Manager<br>INCOPESCA<br>1 Tech Advisor  | No. of the<br>relevant<br>companies<br>included and<br>successful<br>action in blue<br>economy,<br>circular<br>economy and<br>recycling<br>programs | Copy of the<br>reports for<br>blue<br>economy,<br>circular<br>economy and<br>recycling<br>programs |
|------------|---|---|---|---------|---------|---|---|--|
| Action 5.3 | Encouragement of<br>agreements between<br>NGOs and public-<br>private partnerships<br>to work on the<br>collection, separation,<br>valuation, and reuse<br>of marine litter | L | NTF<br>Private Sector<br>NGOs<br>ICT<br>Bank public<br>and private<br>Municipalities                | 09/2022 | 06/2024 | Staff<br>MOPT1 Director Navigation<br>and SafetyMS<br>1 RepresentativeMINAE<br>1 RepresentativeSNG<br>1 Environmental<br>AdvisorINCOPESCA<br>1 RepresentativeCANATUR<br>1 Executive DirectorCICR<br>1 Executive DirectorONGs<br>3 Executives<br>Directors | No. of<br>campaigns<br>executed to<br>collect SBMPL.  | Copy of<br>reports of<br>campaigns<br>executed to<br>collect<br>SBMPL.                             |

### 5.5. GloLitter support request<sup>3</sup>

| Action      | Action Description   | Country Status<br>Assessment<br>Priorities       | GloLitter support<br>options  |
|-------------|--|--|---|
| Action 1.5  | Drafting secondary legislation to implement<br>Annex V Provisions of the MARPOL<br>Convention  | 1.2  | Will recruit national legal<br>consultant that will work<br>together with int<br>consultant to support<br>Costa Rica  |
| Action 1.8  | Enforcement of the Law Decree of the National Plan for Marine Waste  | 2.2 4.1  | Training on enforcement can be offered  |
| Action 1.10 | Incorporation into the national laws and<br>enforcement of the FAO Voluntary<br>Guidelines on ghost fishing.   | 7.1 7.2 7.3 7.4<br>7.5                           | Will recruit national legal<br>consultant that will work<br>together with int<br>consultant to support<br>Costa Rica  |
| Action 1.11 | Drafting and establishment of the Port<br>Environmental Policy according to the<br>Institutional Environmental Policy of the<br>MOPT.  | 2.1 2.2  | This action will be<br>considered at the time of<br>the recruitment of the<br>national consultant   |
| Action 3.3  | Designing and execution of training courses<br>on FAO Voluntary Guidelines, for diverse<br>levels: operational, authorities and<br>awareness-raising, among others.  | 8.4  | Will be prioritized as a<br>topic for a regional<br>training with other<br>countries  |
| Action 4.2  | Approach international organizations and<br>NGOs to request cooperation and technical<br>assistance in the execution of projects such<br>as: Reception facilities of waste in ports,<br>identification of vessels and fishing gear<br>with advanced technological equipment and<br>others                    | Coming from NTF<br>meetings and<br>deliberations | NTF will present a menu<br>of actions during 2022 to<br>GloLitter-PCU to request<br>support to identify<br>partners   |
| Action 4.3  | Request support from international<br>organizations in the drafting manuals for<br>the effective implementation of waste<br>reception facilities in port and Annex V of<br>MARPOL  | Coming from NTF<br>meetings and<br>deliberations | GloLitter will be shortly<br>issuing Guidelines on<br>developing port waste<br>management plans.<br>Training will be offered<br>on this Guidelines<br>document  |
| Action 4.4  | Request support from international<br>organizations for the effective<br>implementation of the controls established<br>in the Protocol to the London Convention of<br>1972 regarding the reception of garbage in<br>ports and everything related to the<br>Provisions of Annex V of the MARPOL<br>Convention | Coming from NTF<br>meetings and<br>deliberations | GloLitter will be shortly<br>issuing Guidelines on<br>developing port waste<br>management plans and<br>will explore with the<br>office of LP what<br>assistance and<br>guidance (technical<br>manuals) to implement<br>the Protocol can be<br>received. |
| Action 4.6  | Request IMO to conduct training course for<br>Port State authorities and officers, to<br>implement the controls by Flag State,<br>Coastal State and Port State, in relation to<br>Annex V of MARPOL and the Protocol to<br>the 1972 London Convention  | Coming from NTF<br>meetings and<br>deliberations | This is beyond GloLitter.<br>GloLitter will explore the<br>options within the IMO<br>on how to address this<br>request.   |

<sup>3</sup> Actions adjusted to the GloLitter objectives

### 6. Monitoring Progress

The NTF that currently exists will become a permanent working group. The MOPT as the National Maritime Authority, representative to the IMO, NFP, and the NTF Coordinator to the GloLitter project, will continue with the coordination and monitoring progress of the achievement of the goals and objectives of the project.

Since the NAP has been prepared by the NTF and all the governmental institutions involved have formally committed to its execution, the actions reflected in it automatically become duties that the institutions must undertake in the coming months as scheduled. Each one of the institutions will allocate resources.

The NTF will schedule sessions every 6 months to ensure that the NAP is running as planned and scheduled.
# Annex 1 - COSTA RICA - Country Assessment Report on sea-based MPL with specific reference to shipping and fisheries

### 1. Country Context

Key facts and geographical scope of the Assessment

#### **1.1 General Information** Population:

5.094.114 (2020)<sup>4</sup>

Coastline (km) Pacific Coast 1016 km y Caribbean Coast 212 Km<sup>5</sup>

### 1.2 Language:

Spanish, Bribri, Cabécar, Maleku, Ngöbe, Guaymí, Boruca, Limon kryol<sup>6</sup>

### 2. Summary of findings

### 1-MARPOL implementation and drafting of domestic legislation

<u>Gap:</u> MARPOL has not yet been approved. <u>Priority</u>:

1.1. The Executive Power will submit MARPOL Convention for approval of the accession of Costa Rica to MARPOL to be incorporated into the laws of Costa Rica by the Legislative Assembly.

1.2. Drafting secondary legislation to implement Annex V Provisions of the MARPOL Convention

### 2-Ports and Maritime Transport Policy in the Marine Litter

<u>Gaps</u>:

-There is no Maritime-Port Environmental Policy

-National Marine Waste Policy has not been finalized <u>Priorities:</u>

2.1. Development of a Maritime-Port Environmental Policy

2.2. Conclusion of the Marine Waste National Policy

# 3-Port reception facilities to receive any kind of garbage, MARPOL training, exercise the full role of Port State Control role on port reception facilities according to MARPOL Annex V Provisions

Gaps:

-There are no facilities to receive solid or liquid waste in any port in the country.

-Lack of training for the enforcement of MARPOL in institutions involved

-The full role of the Port State is not exercised (only documentation is verified) <u>Priorities:</u>

3.1. Include in future tenders for port concessions the reception facilities

3.2. Request of construction of facilities in ports currently in operation

<sup>&</sup>lt;sup>4</sup> <u>https://datos.bancomundial.org/indicator/</u>SP.POP.TOTL?locations=CR

<sup>&</sup>lt;sup>5</sup> https://www.fao.org/3/ad668s/ad668s03.htm

<sup>&</sup>lt;sup>6</sup> https://www.dipalicori.ucr.ac.cr/articulos/lengua/

3.3. Elaborate and implement the characteristics that are required for the role of the Port State to be exercised in the country (hiring of inspectors to exercise the role of Port State Control (PSC)

3.4. Schedule training on the implementation of MARPOL and its Annex V and request international cooperation for their support in giving them.

### **4-Incentives for the use of Port Reception Facilities for fishing gears** <u>Gaps:</u>

-There is no legislation that promotes incentives for reception facilities <u>Priorities:</u>

4.1. Promotion of the approval of the draft Law number 21 159 (Law that tackles the pollution of plastic litter) by the Legislative Assembly.

4.2. Incorporation of the fishing gears into the circular economy promotion policies

### 5-Rules of garbage management onboard domestic fleet

<u>Gap:</u> there is no clear and specific legislation that requires regulates on garbage onboard vessels.

**Priorities** 

5.1. The obligation to keep garbage onboard vessels must be included in all existing laws (annotations in the onboard logbook, including in the departure for sailing regulations, the commitment to properly handle garbage).

5.2. Regulation that requires keeping waste onboard vessels and evaluations within the framework of the Institutional Environmental Management Program (PGAI), comprehensive waste management, among others, and in the case of private companies, comply with the comprehensive waste management program as generators.)

5.3. Request inclusion in the Northeast Pacific Regional Garbage Plan and in the PROMAR Project and other initiatives and projects that garbage be kept onboard the vessels

# 6-SBMPL mandates, competencies, and capacities according to London Convention/London Protocol

Gaps:

-The Protocol is not yet ready for presentation to the Legislative Assembly -There is not enough national legislation for the management of SBMPL <u>Priorities:</u>

6.1. Drafting of national legislation that allows sanctioning the inappropriate handling of garbage

6.2. Training of the national staff according to the London Protocol

## 7-Implementation of FAO Voluntary Guidelines to address ALDFG problems <u>Gaps:</u>

-There is no specific legislation to sanction breaches

-There is no legislation for the gillnet market and other fishing devices

-There are no specific regulations to resolve the recovery of tackle

-There is no solution for the final disposal or for the reuse of collected fishing gear

-Lack of adoption and implementation of secondary regulations in the FAO Voluntary Guidelines for the marking of fishing gear

-Secondary legislation has not been implemented to regulate marine litter. <u>Priorities:</u>

7.1. Development and enforcement of specific legislation to sanction non-compliance with the report of loss of fishing gear and devices

7.2. Drafting of regulations for the gillnet market and other fishing devices

7.3. Drafting of regulations for the recovery of fishing gear

7.4. Drafting of regulations for the final disposal or reuse of fishing gear collected in the sea and also for the end-of-life fishing gear

7.5. Promotion of the training programs on circular economy for the best use of recovered fishing gear

### 8-National or local fishing gear best management practices or guidelines for fishers <u>Gaps:</u>

-Legal overlaps and lack of legal clarification to properly exercise authority on the subject between MAG, MINAE, and INCOPESCA

-Lack of resources in INCOPESCA prevents compliance with the scope of its constitutive law. -Lack of training for fishers on the management of fishing gear <u>Priorities:</u>

8.1. Improve the administration of the special marine ordinance and the management of fisheries and other related activities that affect marine governance due to limited personnel and financial resources.

8.2. Clearly define the scope and responsibilities of the stewardship of the sea and its resources

8.3. Provide more resources to INCOPESCA to strengthen its actions as a national authority in the fishing and aquaculture sector

8.4. Elaborate and develop programs at INA to train fishers to improve the management of fishing gear.

# 9-Identify maritime and fisheries training centres, institutes, or other organizations capable of instructing on MARPOL Annex V, London Convention.

Nautical and Fishing Center of INA National Coast Guard Academy Bachelors in civil marine Caribbean Campus of the UCR

# 10-Number of vessels registered under national active fleet, including domestic operating fleet and fishing vessels

| Class           | No of Licenses | Type of gear                           |
|-----------------|----------------|--|
| Small scale     | 1,861          | hand line gear, traps, trammel, diving |
| Medium scale    | 228            | longline fishing (palangre)            |
| Semi industrial | 3              | longline fishing (palangre)            |
| Sport fishing   | 680            | line gear                              |
| Others*         | 5              |  |
| Total           | 2,901          |  |

\*4 cetacean watchers and 1 research boat

# 11-Number and characteristics of foreign flagged vessels active or licensed in your waters

163 vessels: Mexico 1, Guatemala 11, Panamá 30, Nicaragua 32, and Venezuela 89

**12-Estimate of the current share of marine plastic litter that originate from ships** Between 2001 and 2021 they seized or collected:

Seized 1,233

| found abandoned | 4,591 |
|-----------------|-------|
| Total           | 5.824 |

They are equivalent to 1,101.2 km

#### 13- List most frequently found plastic litter objects from ships

- Plastic (LDPE) to protect the frozen product (purchased by roll)
- Buoys (expanded PVC), Nylon Monofilament Rope (HDPE)
- Food and beverage recipients for long trips: plastic bottles (PET)
- Gallon tanks (HDPE)
- Plastic bags (LDPE)
- Fish aggregating devices (FAD) and Plastic Recipients (gallon jars, tin cans, plastic containers for oils and fuels)
- Ropes for docking and undocking
- Plastics used for food products
- Coolers.
- Although they are not plastic litter, there are large quantities of D-type batteries for radio buoys that come from fishing activities

#### 3. Brief description of governance system

The Costa Rican State has a Romano Germanic Legal System of Civil Law with legislation such as laws, decrees, acts, regulations, and policies. Costa Rica is a republican government system, divided into three fundamental powers: Executive (President and Ministers), Legislative (Legislative Assembly) and Judicial (Courts of Justice), whose functions and powers are set out in the Political Constitution of the Republic of Costa Rica.

Law Decree No. 41775-MP-MSP-MAG-MINAE-MOPT-TUR creates the Commission for Marine Governance as a permanent inter-ministerial coordinating body that will serve as the articulated and integrated management authority between the different public sector entities that make up part of the Costa Rican marine-coastal institutional framework.

The objectives of the governance mechanism of marine spaces under the jurisdiction of the Costa Rican State are:

- Consolidate an instrument that promotes inter-institutional coordination for the management and participatory management of marine resources in order to take advantage of the ecosystem services that offer, and;
- Guarantee the active and effective participation of society in the integral management of the sea, through the zoning of Costa Rican jurisdictional waters and the establishment of regional bodies for formal citizen participation.

Regarding the implementation of marine governance, at the national and international levels, there are various mechanisms, through norms, policies, guidelines, and action plans.

# 4. Overview of policies and legislation relating to maritime transport, fishing sector, marine environment protection and waste management, in particular in relation to sea-based marine plastic litter

| 4.1. National Maritime   | Transportation Polic        | су         |                                       |                         |  |  |  |
|--|-----------------------------|------------|---------------------------------------|-------------------------|--|--|--|
| Major Industries   |                             |            | Imports and Exp                       | orts                    |  |  |  |
| Do your major indus<br>following?  | stries include any of th    | е          | Do your imports/<br>of the following? | exports include any     |  |  |  |
| Crude oil  |                             |            | Crude oil                             |                         |  |  |  |
| Gas or natural gas   |                             |            | Gas or natural<br>gas                 |                         |  |  |  |
| Dangerous goods<br>(chemicals)   |                             |            | Dangerous<br>goods<br>(chemicals)     |                         |  |  |  |
| Fishing  | $\boxtimes$                 |            | Other                                 |                         |  |  |  |
| Shipbuilding or Ship repair  |                             |            |                                       |                         |  |  |  |
| Tourism involving<br>domestic ferries or<br>passenger ships  |                             |            |                                       |                         |  |  |  |
| Other  |                             |            |                                       |                         |  |  |  |
| Passenger Transportati   | on:                         | Mar        | itime Tourism:                        |                         |  |  |  |
| (No. per annum)  | 239.293 (2019) <sup>7</sup> | (No<br>ann | . of cruise ships per<br>um)          | 264 (2019) <sup>8</sup> |  |  |  |
| National Maritime Trai   | nsportation Policy          |            |                                       |                         |  |  |  |
| Adopted 🛛  | In preparation              |            | No informa                            | ation 🗆                 |  |  |  |
| Maritime Strategy & P  | olicy: (brief description   | n)         |                                       |                         |  |  |  |
| National Transport Plan<br>Work is being done on a   |                             | onme       | ental Policy from the                 | MOPT                    |  |  |  |
| Work is being done on a Maritime-Port Environmental Policy from the MOPT<br>Law Decree No. 37738-MOPT Officializes the National Policy of the Sea and reforms to<br>Law Decree No. 37212 "Creation and Operation of the National Marine Commission", Law<br>Decree No. 38014-MINAE-MAG-SP-MOPT-RE-MIVAH-TUR. |                             |            |                                       |                         |  |  |  |

 $<sup>^7</sup>$  lhttps://www.ict.go.cr/es/documentos-institucionales/estad%C3%ADsticas/cifras-tur%C3%Adsticas/cruceros/1845-2019-2020/file.html

<sup>&</sup>lt;sup>8</sup> Ihttps://www.ict.go.cr/es/documentos-institucionales/estad%C3%Adsticas/cifrastur%C3%Adsticas/cruceros/1845-2019-2020/file.html

### 4.2. National Maritime Administration

#### Focal point (Ministry):

#### Nelson Soto,

Director of Navigation and Safety, Maritime and Port Division, Ministry of Public Works and Transport (MOPT), <a href="mailto:nelson.soto@mopt.go.cr">nelson.soto@mopt.go.cr</a> +5062523200 Paola Acuña,

Legal Advisor, NFP and NTF coordinator paola.acuna@mopt.go.cr +5062523200

| 4.3 Maritime Legislation                          |  |                 |             |             |  |  |  |  |  |
|---|--|-----------------|-------------|-------------|--|--|--|--|--|
| National Legal Framework                          |  |                 |             |             |  |  |  |  |  |
| National Merchant Shipping                        | National Merchant Shipping Act / Merchant Marine Code: |                 |             |             |  |  |  |  |  |
| Adopted   | Not adopted  | $\boxtimes$     | In progress |             |  |  |  |  |  |
| Enforcement Monitoring Aut                        | hority:  |                 |             |             |  |  |  |  |  |
|   |  |                 |             |             |  |  |  |  |  |
| Legal Framework for Marin                         | ne Environme   | nt Protection ( | MARPOL)     |             |  |  |  |  |  |
| National Legislation:                             |  |                 |             |             |  |  |  |  |  |
| Adopted   | Not adopted  |                 | In progress | $\boxtimes$ |  |  |  |  |  |
| Enforcement Monitoring Authorities: (Please list) |  |                 |             |             |  |  |  |  |  |
| Maritime and Port Division of MOPT                |  |                 |             |             |  |  |  |  |  |
| Harbor Masters of MOPT                            |  |                 |             |             |  |  |  |  |  |
| Coast Guard                                       |  |                 |             |             |  |  |  |  |  |
| Environmental Health Depar                        | tment of MS  |                 |             |             |  |  |  |  |  |
| Vice Ministry of Water and C                      | ceans of MINA  | λE              |             |             |  |  |  |  |  |

| Flag State Implementation & Port State Control Responsibilities |   |  |            |  |                    |  |
|---|---|--|------------|--|--------------------|--|
| Flag State Impler   | nentation   |  |            |  |                    |  |
| No. of<br>Surveyors:  | 15 (4 Harbor<br>Master<br>officers and<br>11<br>inspectors) | No. of Ship Detentions (under Nation<br>Flag): (last 2 years). Specify type of<br>vessels (cargo, fishing, passenger e |            |  | 211<br>(2019-2020) |  |
| Port State Contro   | ol (PSC) Implem   | nentation  | า          |  |                    |  |
| MoU(s) on PSC: N  | lone  |  |            |  |                    |  |
| Abuja MoU   |   |  | Paris MoU  |  |                    |  |
| Black Sea MoU   |   |  | Riyadh MoU |  |                    |  |
| Caribbean MoU   |   |  | Tokyo MoU  |  |                    |  |

| Indian Ocean MoU  |  |   | /lar or Latin-<br>Agreement                    |                             |      |  |  |  |  |
|---|--|---|--|-----------------------------|------|--|--|--|--|
| Implementation of Agreement on Port State Measures to Prevent, Deter and<br>Eliminate Illegal, Unreported and Unregulated Fishing   |  |   |  |                             |      |  |  |  |  |
| NO, it is in the process of elaboration implementing the regulation AMERP   |  |   |  |                             |      |  |  |  |  |
| Enforcement Monitoring Authorities: (Please list)   |  |   |  |                             |      |  |  |  |  |
| Customs Service<br>Costa Rican Fisheries and Aquaculture Institute<br>Harbor Masters<br>Port Authority of the Pacific<br>Port Authority of the Atlantic<br>Ministry of Livestock and Agriculture  |  |   |  |                             |      |  |  |  |  |
| No. of Port State Contr   | rol Officers:  |   |  |                             |      |  |  |  |  |
| INCOPESCA 8 (2 for r  | egion)   |   |  |                             |      |  |  |  |  |
| Main and Secondary P<br>No. of Fish Landing   | Ports:   | No. of Cargo  |  | o. of Cruise                | 2    |  |  |  |  |
| Facilities:<br>4.4 Marine Environme   | nt Drotoction  | Terminals:  | Sh   | nip Terminals:              | _    |  |  |  |  |
| 4.4 Marine Environment<br>Pollution prevention  | nt Protection  |   |  |                             |      |  |  |  |  |
| If yes, which Annex of th   | o ⊠ There<br>transfe<br>Health<br>he MARPOL C  | are no reception<br>erred to sanitary la<br>and the Ministry<br>Convention is app | andfills, regulor<br>of the Enviro<br>licable? | lated by the Min<br>onment. |      |  |  |  |  |
| MARPOL Annex V, which is in the process of being approved by the Legislative<br>Assembly.<br>Political Constitution: Articles 21 (Right to health) and 50 (Right to a healthy and<br>ecologically balanced environment)   |  |   |  |                             |      |  |  |  |  |
| L.5395, General Health<br>collection and disposal of<br>L.7554, Organic Law of<br>L.8495, General Law of<br>L.8839, Law for Compre  | Law, Title III, (<br>of waste solid)<br>the Environme<br>the National A<br>chensive Wast | ent<br>Animal Health Ser<br>e Management a  | rvice<br>nd its regulat                        | ions<br>al hazardous wa     |      |  |  |  |  |
| By Law 36093-S, Regulation on the management of ordinary solid waste<br>By Law 40557-S, Regulation for the co-processing and management of waste in cement<br>kilns<br>Law Decree-41527-S-MINAE, General regulation for the classification and management<br>of hazardous waste, incorporates the standardized codes of hazardous waste of the EU |  |   |  |                             |      |  |  |  |  |
| By Law 36093-S, Regul<br>By Law 40557-S, Regul<br>kilns<br>Law Decree-41527-S-M   | lation on the m<br>lation for the co<br>IINAE, Genera<br>corporates the                  | nanagement of or<br>o-processing and<br>al regulation for th                      | managemer                                      | nt of waste in ce           | ment |  |  |  |  |

### Legal Framework for Dumping at sea (LC/LP)

National Legislation: On August 26, 1974, Law 5566 approved the accession of Costa Rica to the London Convention.

In the process of preparing to present the London Protocol to the Legislative Assembly for its due approval

Adopted 🛛 Not adopted 🗆

In progress

Enforcement Monitoring Authorities:

- Ministry of Public Works and Transport
- Ministry of Health
- Ministry of Environment
- Coast Guard
- Port Authorities

Applicable national legislation:

- 1. Political Constitution: Articles 50 Right to a healthy and ecologically balanced environment
- 2. Law Decree No. 40803 MOPT, organizational and functional reform of the Maritime Port Division, Ministry of Public Works and Transport

Article 11.- General Objective of the Directorate of Navigation and Safety:

Ensure the safety of navigation in national waters, applying the control measures established in the current regulations of the country and in the international agreements signed; likewise, order navigation and water transport to prevent pollution from this activity and oversee the protection of port facilities and ships, as well as ensure that the vessels that make up the national fleet and foreign-flagged ships that visit us meet the appropriate technical conditions for maritime activity in accordance with current regulations.

3. Article 14 °- Objective of the Department of Safety and Protection Promote the safety of navigation, human life at sea, maritime protection, and the prevention of aquatic pollution.

Article 17. Issue the corresponding recommendation to the Directorate of Navigation and Safety with respect to the viability or unfeasibility of authorizing the dumping of waste and other matter at sea, in accordance with the standards and parameters established in current international conventions.

- 4. By Law No. 33601, Regulation of Dumping and Reuse of Wastewater.
- 5. Law No. 276 Water Law.
- 6. Law No. 5395 General Health Law.
- 7. Law No. 7554 Organic Law of the Environment

| 4.5. Maritime / Fisheries Training                        |                    |   |   |  |  |  |
|---|--------------------|---|---|--|--|--|
| Competencies (STCW 1978                                   | 3 and STCW-F)      |   |   |  |  |  |
| EI STCW 1978 approved ra                                  | tified on 09.02.20 | )17. STCW-F not yet                                     |   |  |  |  |
| Authority Issuing Certificates                            | of Competency:     |   |   |  |  |  |
| Directorate of Navigation and Safety of the MOPT          |                    |   |   |  |  |  |
|   |                    |   |   |  |  |  |
| No. of Training Centers for officers for fishing vessels: | 1                  | No. of Training Centers for officers for other vessels: | 2 |  |  |  |

| No. of Certified Officers 130 UCR No. of Certified Ratings 0<br>INA<br>262 coastal<br>sport fishing<br>320 basic<br>navigation<br>skippers |                           |   |                          |   |
|--|---------------------------|---|--------------------------|---|
| 262 coastal<br>sport fishing<br>320 basic<br>navigation  | No. of Certified Officers | 130 UCR   | No. of Certified Ratings | 0 |
|  |                           | 262 coastal<br>sport fishing<br>320 basic<br>navigation |                          |   |

|    | 4.6. National Fi                        | ishing      | g Policy                |                        |                         |           |
|----|---|-------------|-------------------------|------------------------|-------------------------|-----------|
|    | Adopted                                 | $\boxtimes$ | In preparation          |                        | No information          |           |
| re | duce marine plases Fisheries and        | stic lit    | 0 , ,                   |                        | atives aiming to preven | it and/or |
|    | oes the National<br>LDFG?               | Fishir      | ng Policy include any s | pecific actions/initia | atives aiming to preven | it and/or |
|    | es Board of Direc<br>quaculture Institu |             | Agreement AJDIP/115     | -2016 of the Costa     | Rican Fisheries and     |           |
| N  | 0                                       |             |                         |                        |                         |           |

| Focal point (Mir                                  | nistry):      |                 |            |           |         |          |         |
|---|---------------|-----------------|------------|-----------|---------|----------|---------|
| INCOPESCA:  |               |                 |            |           |         |          |         |
| Mr. Heiner Mend<br>CEO of INCOPE                  | ,             | esidenciaejecut | iva@incope | sca.go.ci | hmendez | z@incope | esca.go |
| Mr. José Rafael<br>Head of the<br>jcenteno@incope | International | Cooperation     | Departme   | nt of     | , INCOF | PESCA,   | email   |
| Ms. Pilar Argued                                  | as,           |                 |            |           |         |          |         |
| Environmental                                     | Management    | Coordinator,    | Head of    | San C     | arlos R | egional  | Office  |

Strategy & Policy:

Brief description regarding prevention and/or reduction of marine plastic litter

Law of Fisheries and Aquaculture (N ° 8436).

Article 38. The executing authority of this Law will determine the prohibited methods, techniques, equipment, and fishing gear. In the jurisdictional waters of the Costa Rican State, the following is prohibited: g) Dumping into surface, subterranean and maritime territorial waters, directly or indirectly, liquid, solid, gaseous residues, or wastes.

#### Law for Comprehensive Waste Management (N ° 8839)

The purpose of this Law is to regulate the integral management of waste and the efficient use of resources, through the planning and execution of regulatory, operational, financial, administrative, educational, environmental, and healthy monitoring and evaluation actions. - ARTICLE 56.- Legal provision. A prison sentence of two to fifteen years shall be imposed on the person who illegally abandons deposits or dumps hazardous waste. The penalty may be increased by one third when hazardous waste is abandoned, deposited, or dumped in water resource protection areas, protected wild areas, the maritime-terrestrial zone, marine or continental waters and bodies of water intended for human consumption.

<u>Government Directive 014-MINAE. Regulation of the use, consumption, and labeling of single-use plastic. –</u>

Article 1. Preparation of regulation for RCM classification (renewable, compostable, compostable in marine environment).

Article 2. Instructs the Ministry of Education, the Costa Rican Social Welfare Institution and the National Penitentiary System of the Ministry of Justice and Peace, to refrain from the purchase, use and consumption of single-use plastics, including those made with expanded polystyrene (Styrofoam)

Article 3. Implement education and information campaigns, aimed at the consumer and the general public, in relation to the National Strategy for the replacement of single-use plastics by renewable and compostable alternatives 2017-2021, and the RCM classification

Article 4. Comply with the guideline so that the institutions and organs of the central administration do not include single-use plastic in their procurement processes.

#### Brief description regarding prevention ALDFG

Board of Directors Agreement AJDIP/115-2016 of the Costa Rican Fisheries and Aquaculture Institute: To accept and approve the proposals of 1-) The Marking of fishing equipment of medium-scale and advanced commercial vessels, 2-) The Logbook of fishing operations to be used in vessels Medium-scale commercial fishing and Advanced commercial fishing

#### 4.8. Fishing Legislation

National Legal Framework

Fishing Act / Code:

Adopted D Not adopted M In progress D Does the Fishing Acts/ Codes include provisions to prevent and/or reduce marine plastic litter? If yes, please list them.

It is not in the fishing legislation but there are, among various regulations, the following:

Law of Fisheries and Aquaculture (N ° 8436) article 38 g) Law of Concession and Operation of Tourist Marinas (No. 7744) article 3 Law for the Integral Management of Waste (N ° 8839) article 56 National Strategy for the Substitution of Single-Use Plastics for Renewable and Compostable Alternatives 2017-2021 Directive 014-MINAE. Regulation of the use, consumption, and labeling of single-use

Directive 014-MINAE. Regulation of the use, consumption, and labeling of single-use plastic

Does the Fishing Acts/ Codes include provisions to prevent and/or reduce abandoned, lost or otherwise discarded fishing gear? If yes, please list them.

No, it does not have specific inclusions in the Fisheries Law.

Enforcement Monitoring Authority:

MINAE/SINAC Coast Guard Harbor Master Costa Rican Fisheries and Aquaculture Institute

#### 4.9. Description of key maritime and fishing sector characteristics

This is an overview of the maritime/fisheries industry in terms of international shipping and domestic shipping fleet, fisheries fleet, ports, and cargoes/catch received at main and secondary ports.

| Registered Cargo Fleet | No. | GT |                   | No.         | GT      |
|------------------------|-----|----|-------------------|-------------|---------|
| Dry Cargo Ships        |     |    | Passenger Vessels | Tambor II   | 998,21  |
| Tankers                |     |    |                   | Tambor III  | 932,00  |
| Other                  |     |    |                   | S. Lucas I  | 567,87  |
|                        |     |    |                   | S. Lucas II | 1058,00 |

| Volume of<br>fisheries landings<br>per port | Volume ton | USD | Type of fishery (scale) |  |
|---|------------|-----|-------------------------|--|
| Port 1<br>Guanacaste                        | 196,00     |     | Small-scale             |  |
| Port 2 G. de<br>Nicoya                      | 1.814,27   |     | Medium-scale            |  |
| Port 3 Quepos                               | 454,68     |     | Small-scale             |  |
| Port 4 Golfito                              | 137,50     |     | Small-scale             |  |
| Port 5 Limón                                | 64,70      |     | Small-scale             |  |
| Port 1                                      | 891,40     |     | Medium and              |  |
| Guanacaste                                  |            |     | advanced                |  |
| Port 2 G. de                                | 5.439,93   |     | Medium and              |  |
| Nicoya                                      |            |     | advanced                |  |
| Port 3 Quepos                               | 1.402,27   |     | Medium and advanced     |  |
| Port 4 Golfito                              | 520,33     |     | Medium and advanced     |  |
| Port 5 Limón                                | 239,91     |     | Medium and advanced     |  |
| Port 2 G .de<br>Nicoya                      | 87,06      |     | Semi industrial         |  |

| Port 2 G. de                                    |            | 3.933,42     |              | Foreign Tuna Fleet  |            |                       |                   |
|---|------------|--------------|--------------|---------------------|------------|-----------------------|-------------------|
| Nicoya  |            |              |              | for National Waters |            |                       |                   |
| Port 2 G. de                                    |            | 2.430,84     |              | Foreign Tuna Fleet  |            |                       |                   |
| Nicoya  |            |              |              | for International   |            |                       |                   |
| ,   |            |              |              | Waters              |            |                       |                   |
| Port  | Guanacaste | G. de Nicoya | Quepos       | Golfito             | Limón      |                       |                   |
|   | volume Kg  | volume Kg    | volume Kg    | volume Kg           | volume Kg  | US\$                  | CRC               |
| Small Scale                                     | 196 005,59 | 1 814 270,07 | 454 679,25   | 137 499,66          | 64 698,53  | \$8 263 390,00        | ¢4 793 342 697,00 |
| Medium/Large Scale                              | 891 399,35 | 5 439 934,01 | 1 402 272,25 | 520 329,93          | 239 803,32 | \$2 742 660,00        | ¢1 590 932 577,00 |
| Semi industrial                                 |            | 87 062,00    |              |                     |            | \$344 184,00          | ¢199 650 799,00   |
| Foreign Tuna Fleet for<br>National Waters       |            | 3 933 420,00 |              |                     |            | \$9 208 450,00        | ¢5 341 545 026,00 |
| Foreign Tuna Fleet for<br>International Waters. |            | 2 430 840,00 |              |                     |            | \$5 690 790,00        | ¢3 301 056 412,00 |
|   |            |              |              |                     |            | Exchange rate         |                   |
|   |            |              |              |                     |            | 1 CRC: 0,00172<br>USD | 31/12/2019        |

| Class           | No of Licenses | Type of gear                           |  |  |
|-----------------|----------------|--|--|--|
| Small scale     | 1,861          | hand line gear, traps, trammel, diving |  |  |
| Medium scale    | 228            | longline fishing (palangre)            |  |  |
| Semi industrial | 3              | longline fishing (palangre)            |  |  |
| Sport fishing   | 680            | line gear                              |  |  |
| Others*         | 5              |  |  |  |
| Total           | 2,901          |  |  |  |

\*4 for cetacean observation and 1 for research

In addition, from 2014 to 08.25.2021, 163 licenses with a duration of 60 days have been extended to foreign vessels for tuna fishing (Mexico 1, Guatemala 11, Panamá 30, Nicaragua 32 and Venezuela 89) National definitions:

Small-scale: fishing carried out in an artisanal way by natural persons, without using a boat, in continental waters or in the coastal zone or practiced on board a boat with an autonomy to fish up to a maximum of three nautical miles in the Costa Rican territorial sea.

Small-scale commercial fishing vessels authorized to fish within the Papagayo, Nicoya and Dulce gulfs cannot be longer than 10 m length, outside the gulfs at a maximum of 12 m length

Medium scale: fishing carried out by natural or legal persons, on board of a boat with autonomy to fish up to a maximum of forty nautical miles

Advanced: fishing carried out, by mechanical means, natural or legal persons, on board of a vessel with autonomy to fish for more than 40 nautical miles, aimed at catching pelagic species with longlines, and other species of commercial importance

Semi-industrial: Fishing carried out by natural or legal persons, on board of vessels aimed at the extraction of sardines and tuna with a purse seine.

Industrial: Fishing and industrialization carried out by natural or legal persons, with vessels capable of carrying out fishing, freezing, packing and industrialization of their catches on board.

#### Major Industries

Do your major industries include any of the following? Please include your national definition of industrial/large scale fisheries.

The tuna industry located in Puntarenas is a tuna processor.

#### Fishing gear manufacture and market

#### Do your national manufacture, imports/exports include any of the following fishing gear types?

Materials are imported to manufacture and are not imported as such: longlines, seine nets, trawls, trammel nets, traps and, lines and hooks.

In Costa Rica there are no factories or manufacturers of fishing gear, all the materials for build them are imported, usually from Panama. And mostly the owners of the boats are assembled or hired by people "rederos" (netters) to assemble the fishing equipment.

Materials are obtained in any hardware store, there are not necessarily companies exclusive to buy these teams.

|                                       | Import<br>National<br>consumption | Export |                                    | National | Import | Export |
|---------------------------------------|-----------------------------------|--------|------------------------------------|----------|--------|--------|
| Longline                              |                                   |        | Longline                           |          |        |        |
| Purse<br>seine                        |                                   |        | Purse seine                        |          |        |        |
| Seine<br>nets                         |                                   |        | Seine nets                         |          |        |        |
| Trawls                                |                                   |        | Trawls                             |          |        |        |
| Gillnets<br>and<br>entangling<br>nets |                                   |        | Gillnets and<br>entangling<br>nets |          |        |        |
| Traps                                 |                                   |        | Traps                              |          |        |        |
| Hooks<br>and lines                    |                                   |        | Hooks and<br>lines                 |          |        |        |

# Overview of regional cooperation related to marine plastic litter reduction activities/agreements

This section incudes a short list of relevant agreements and activities related to marine plastic litter with a focus/component on sea-based sources.

#### 4.11. Regional Cooperation and agreements

Agreement related to Marine Plastic Litter (MPL):

#### - Northeast Pacific Regional Waste Plan (in validation):

The sub-region goes from Mexico to Colombia; it is made up of 8 countries: Mexico, Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica, Panama, and Colombia. It proposes measures applicable to the municipal, national, and regional context, which make it possible to address the problem of marine litter in an effective and sustainable way over time, contributing to sustainable economic growth, social development, and the improvement of local prosperity. Likewise, it protects and restores the ecosystems, biological diversity, and marine areas of this sub-region.

Focal Point: MINAE, Olman Mora, DIGECA omora@minae.go.cr

Prepared by MarViva, Alberto Quesada alberto.quesada@marviva.net

UNEP: Alejandra Fernández, maria.fernandezgarcia@un.org

#### -Marine Corridor of the Eastern Tropical Pacific CMAR

It is an initiative integrated by Costa Rica, Panama, Ecuador, and Colombia that promotes an effective geographic space for the sustainable use and conservation of biological diversity (in particular, of elements of marine and coastal biodiversity) of the Central Eastern Tropical Pacific Region, which involves the islands of Coco, the Galápagos Archipelago, Malpelo, Gorgona and Coiba, as well as the space between them.

Focal Point: MINAE-SINAC, Ricardo Meneses, ricardo.meneses@sinac.go.cr

#### - Round Table of High Level of Marine Litter and Microplastics

Informal group of countries that meets to discuss the issue that presents its reports via UNEP to the UNEA. The principle is maintained that cross-border problems require strong multilateral responses.

Focal point: Embassy of Costa Rica in Kenya, Giovanna Valverde, embcr-ke@rree.go.cr

#### 4.12. State of Knowledge on SBMPL at National and Local levels

This section includes a list of relevant national and local stakeholders (government agencies, academia, expert NGOs, civil society organizations (CSO), etc.) working on building evidence of the marine plastic litter problem and their related activities with a focus/component on seabased sources.

National and Local Stakeholders building evidence on SBMPL

Name of the initiative/project/program:

#### Civil society organizations involved in SBMPL issues\*

Asociación Amigos de la Isla del Coco (FAICO) /Friends of Cocos Island Asociación Costas del Surf (ACOS) /Costa Rican Surf Association CEGESTI CoopeSolidar R. L. CRUSA Fundación Verde Agua / Green Water Foundation Green Wolf MarViva Movimiento Operación Rich Coast /Operation Movement Rich Coast Terra Nostra Un Puerto Limpio /A Clean Port

### National System of Conservation Areas Guanacaste Conservation Area

Osa Conservation Area, Central Pacific Conservation Area <u>UN System</u> United Nations Development Programme <u>Other Public Institutions</u> Puntarenas Marine Park <u>Academy Centers</u> Centre of Research in Marine Sciences and Limnology of the University of Costa Rica Interdisciplinary Program for Research and Water Management of the National University National University School of Biology emphasis marine biology

### In Rivers and watersheds

Alianza Nacional de Ríos y Cuencas /National Rivers and Basis Alliance ALIARSE Amigos del Río Torres/Friends of Torres River Corredor Biológico Interurbano/Interurban Biological Corridor Cuenca del Río Tárcoles/Tarcoles River Basin EcoRunners Río Urbano

### Private and Public Organizations

FEMSA (Coca Cola) Florida Ice&Farm (Brewery) Compañía Nacional de Fuerza y Luz (CNFL National Company of Power and Light (dams in the Virilla river basin) Pedregal (quarry in the Virilla river basin)

\*It is not possible to translate all the names of the organizations, a list of which could

Costa Rica Forever Association Live Sea Friends of the Cocos Island Association (FAICO) Osa Conservation Association (ACO) Inter-American Association for the Environment (AIDA) Central American Association for the Economy, Health, and the Environment (ACEPESA) CEDARENA Center for Environmental Law and Natural Resources (CEDARENA) Youth Network for Water **Diwo Environmental Green Heart Foundation** Nicoya Peninsula Waterkeeper A Clean Port Send me I am an Environmental Hero **MareBlueCR** The Recycle Studio

ACOS Group OKEANS Conservation International Pacific Foundation. FECOP CoopeSolidar R. L. Keiko Foundation. Corcovado Foundation. Green Water Foundation Endangered Marine Species Rescue Center (CREMA) Shark Mission

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